#### MASK SEGMENTATION AND CLASSIFICATION WITH ENHANCED GRASSHOPPER OPTIMIZATION OF 3D HAND GESTURES

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I dedicate this work to Almighty Allah and the last messenger Muhammad (PBUH) after that to my wife Mrs. Nadia Fawad Khan, my daughter Minhal Fawad Khan and my son Zohair Fawad Khan



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#### ABSTRACT

The difficulties associated with extracting 3D hand meshes from depth image utilizing 2D convolutional neural networks. The precision of such estimations is frequently hampered by visual distortions caused by nonrigidity, complex backdrops, and shadows. This research provides a unique methodology that combines the enhanced grasshopper optimization method for feature optimization with MASK-RCNN and FCN for segmenting and classifying 3D hand gestures to address these problems. In order to evaluate the proposed method, a 3D gesture data set is generated. In addition, a skeleton model for RGB hand gestures is constructed by estimating the degree of freedom (DoF) using human kinematics. The segmentation of 3D hand gestures is computed using the ResNet50 backbone network, and the Overlap Coefficient (OC) is employed as an evaluation metric. On the other hand, the ResNet101 backbone network is used to calculate the classification of 3D hand gestures. Experimental results reveal that the proposed method achieves greater accuracy in segmenting and classifying 3D hand gestures than existing methods. The study also emphasizes the significance of using feature optimization approaches and developing skeletal models to estimate (DoF) in order to improve the precision of 3D hand gesture analysis. This study provides a promising approach for robust and precise 3D hand gesture recognition, with potential applications in disciplines such as human-computer interaction and virtual reality. The test results show best accuracy for 3D hand gesture classification and segmentation. On the private dataset, the classification accuracy is 99.05 %, whereas 99.29 % on the Kinect dataset, 99.39 % and 99.29% using SKIG and ChaLearn dataset during validation. The OC is 88.16 % and 88.19 %, respectively which is the highest available accuracy compared with other methods. The mAP of ChaLearn 93.26%, private 81.48%, SKIG 75.21% and Kinect 66.74%.



#### ABSTRAK

Kesukaran yang berkaitan dengan mengekstrak jerat tangan 3D daripada imej kedalaman menggunakan rangkaian saraf konvolusi 2D. Ketepatan anggaran sedemikian sering dihalang oleh herotan visual yang disebabkan oleh ketidaktegaran, latar belakang yang kompleks dan bayang-bayang. Penyelidikan ini menyediakan metodologi unik yang menggabungkan kaedah pengoptimuman belalang yang dipertingkatkan untuk pengoptimuman ciri dengan MASK-RCNN dan FCN untuk membahagikan dan mengklasifikasikan gerak isyarat tangan 3D untuk menangani masalah ini. Untuk menilai kaedah yang dicadangkan, set data gerak isyarat 3D dijana. Selain itu, model rangka untuk gerak isyarat tangan RGB dibina dengan menganggar tahap kebebasan dengan kinematik manusia (DoF). Pembahagian gerak isyarat tangan 3D dikira menggunakan rangkaian tulang belakang ResNet50, dan Pekali Pertindihan (OC) digunakan sebagai metrik penilaian. Sebaliknya, rangkaian tulang belakang ResNet101 digunakan untuk mengira klasifikasi gerak isyarat tangan 3D. Keputusan eksperimen mendedahkan bahawa kaedah yang dicadangkan mencapai ketepatan yang lebih besar dalam membahagikan dan mengklasifikasikan gerak isyarat tangan 3D daripada kaedah sedia ada. Kajian ini juga menekankan kepentingan menggunakan pendekatan pengoptimuman ciri dan membangunkan model rangka untuk menganggarkan DoF bagi meningkatkan ketepatan analisis isyarat tangan 3D. Kajian ini menyediakan pendekatan yang menjanjikan untuk pengecaman gerak isyarat tangan 3D yang mantap dan tepat, dengan aplikasi yang berpotensi dalam disiplin seperti interaksi manusia-komputer dan realiti maya. Keputusan ujian menunjukkan ketepatan terbaik untuk klasifikasi dan pembahagian gerak isyarat tangan 3D. Pada menetapkan data peribadi, ketepatan klasifikasi ialah 99.05%, manakala 99.29% pada menetapkan data Kinect, 99.39% dan 99.29% pada set data SKIG dan ChaLearn semasa pengesahan. OC ialah 88.16% dan 88.19%, masing-masing yang



menjadi ketepatan tertinggi yang tersedia berbanding dengan kaedah lain. Peta ChaLearn 93.26%, persendirian 81.48%, SKIG 75.21% dan Kinect 66.74%.

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### LIST OF SYMBOLS AND ABBREVIATIONS

3D	_	Three dimensional
DoF	_	Degree of freedom
ANN	_	Artificial neural network
RGB	_	Red Green Blue
RL	_	Reinforcement learning
IMU	_	Inertial Measurement Unit
BLDC	_	Brush less direct current
LQR	_	Linear quadratic regulator
NN	_	Neural network
CNN	-	Convolutional neural network
DQN	-	Deep quality-network
GOA	_	Grasshopper optimization algorithm
DL	-TP	Deep learning
US	<u> </u>	Ultra sonic
LSTM	_	Long short-term memory
GPU	_	Graphical processing unit
GUI	_	Graphical user interface
RCNN	_	Reginal convolutional neural network
IOU	_	Intersection over union
mAP	_	Mean average precision
PID	_	Proportional integral derivative
HCI	_	Human-computer interaction
ROS	_	Robot operating system
ML	—	Machine learning
ANFIS	_	Adaptive network-based fuzzy inference system



LMI	_	Linear matrix inequalities
FD	_	Full Duplex
EE	_	Energy efficiency
ISMC	_	Integral sliding mode control
MAS	_	Multi agent system
ResNet	_	Residual Network
ROC	_	Receiver operating characteristic
ROI	_	a region of interest
R-CNN	_	Region-based CNN
DDPG	_	Deep deterministic policy gradient
TPR	_	True positive rate
IR	_	Infra red
RT3D	_	Real-time three dimensional
POFF	_	Predicted obstacle force field
HAAR	-	Hate African American recognition
PPO	-	Proximal policy optimization
TRPO	-	Trust region policy optimization
FPN	-	Feature pyramid network
OC	-	Overlap coefficient
RPN	57 P	Region proposal network
NMS		Non-max suppression
DIP	_	Digital image processing
RoI	_	Region of align
LWG	_	Light weight graph



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#### CHAPTER 1

#### **INTRODUCTION**

Hand gesture detection is a contentious issue in computer vision and human-computer interface research. Hand gesture recognition and interpretation can be used to control devices like smartphones, tablets, and computers without the use of physical buttons or touchscreens. Furthermore, hand gesture detection can be used to give a more natural and immersive experience in virtual and augmented reality situations [1], [2]. 3D hand gestures typically employ sensors such as cameras or depth sensors to capture the position and movement of the hands in three-dimensional space and to store the depth information of the hands. This enables the measurement of the distance between the hands and the camera, which may subsequently be used to identify particular hand gestures. This enables more natural and intuitive interaction with virtual objects and interfaces.



Recent advancements in depth sensing technology have allowed for the creation of 3D hand gesture detection systems. These devices collect 3D point clouds of a person's hand using depth cameras such as the Microsoft Kinect. These point clouds can then be processed to obtain determine the competitiveness in hand gesture recognition and interpretation [4].

The difficulty of 3D hand gesture identification, on the other hand, is not insignificant and the variety of hand motions is a significant difficulty. People can perform the same gesture in a diversity of ways, such as different fingers or hand orientations. Furthermore, hand gestures can be performed at various rates and with varying degrees of precision. These inconsistencies make it difficult for a gesture recognition system to identify a gesture effectively, especially with depth map images [5].

The use of virtual reality (VR) and augmented reality (AR) software has seen explosive growth in recent years. A crucial task in this area is the estimation of 3D key points, which entails deducing the 3D coordinates of the joints in a person's hand from a single RGB camera image. Creating AR/VR experiences that are accessible to a wide audience is a particularly appealing task because RGB cameras are low-cost and commonly found on the vast majority of mobile devices [6]. To enhance the precision of 3D hand pose estimation, however, researchers will need to work around two major roadblocks. Predicting the 3D coordinates of the hand joints from a 2D image is a complex algorithmic task. Second, there aren't enough high-quality datasets to train and test with.

Despite the challenging problem of background and shadows, researchers have developed some techniques to address the issue of variability and complex backgrounds to improve the performance of hand gesture-based systems [7].



Figure 1.1: 3D hand recognition with Kinect dataset [1]

New approaches to deep learning could make it possible to circumvent the limitations imposed by the technologies that are now in use. It is currently only possible to determine the 3D hand gestures with hand key points when performing 3D hand

analysis using monocular RGB images. It is not possible to depict the three-dimensional contour of a hand in this manner [8].

Recent breakthroughs in deep learning and a drop in the price of depth sensors may be responsible for these gains in gesture estimation. Find a solution to the described problem, there are still a lot of challenges to conquer. Large differences in hand-shape viewpoint shifts, a large number of degrees of freedom (DoFs), constrained parameter space, self-similarity, and occlusions are some of the characteristics that contribute to the difficulty of making realistic hand-gesture estimation [9].

#### **1.1 Background of the problem**

Deep learning has improved 3D hand gesture detection by improving hand shape and movement understanding. CNNs can improve hand gesture classification and segmentation. Algorithms that can handle many hand configurations have challenges because people have different hand shapes and sizes. Changing perspectives can make the hand look different [10]. Hand movement has many DoFs, making gesture recognition difficult. Despite these challenges, deep learning research and sensor technology improvements will likely improve 3D hand gesture detection. As this technology improves, it could greatly improve the usability and accessibility of electronic devices, especially for those with physical disabilities.

The presence of image shadows and background clutter is another significant issue that needs to be addressed for the classification and segmentation of 3D hand gestures. In natural settings with a lot of shadows and background clutter, such as living rooms or offices, hand gesture detection systems are frequently used. Because of this, the system may produce false positives and negatives, which makes it difficult to recognize and correctly interpret hand gestures [11].

In addition, the detection of hand gestures in virtual reality and augmented reality applications can result in an experience that is both more immersive and more natural. Recent developments in depth map recognition have made it possible to create 3D hand gesture detection systems that can collect 3D point clouds of a person's hand using depth



cameras. These systems can be used by themselves or in conjunction with other depthsensing devices. However, despite recent advancements, recognizing 3D hand gestures is still a difficult problem to solve because of the wide variety of hand gestures, and also has some transformation problems due to similar types of hand gestures.

The variability of hand motions is one of the most significant challenges associated with recognizing 3D hand gestures. Because different people can execute the same gesture in a variety of different ways, it can be challenging for gesture recognition systems to correctly identify and interpret hand motions. In addition, the same hand gesture can be performed at a variety of speeds and with a wide range of degrees of precision, further adding to the difficulty of the issue [12].

Another way is to extract the hand from the background using segmentation algorithms. This can be accomplished through the use of techniques such as skin color segmentation or depth-based segmentation. Once the hand has been separated from the background, it can be utilized to extract features for gesture identification [13].

Researchers have developed a variety of solutions to address these challenges. These solutions include the training of classifiers through the use of machine learning techniques and the extraction of hands from the background through the use of segmentation algorithms. When a classifier is trained on a dataset of 3D point clouds containing hand gestures, the classifier can differentiate between various hand motions when applied to new point clouds. By using segmentation algorithms to isolate hands from their backgrounds, it is possible to reduce or eliminate background clutter and make it simpler to isolate features that can be used for gesture recognition [14].

Most researchers have developed strategies to improve the performance of hand gesture-based systems despite the challenges presented by variability and complex backgrounds. Enhancing the user experience in virtual and augmented reality applications is possible with the help of these techniques, which can help to enable more natural and immersive interactions with devices [15]. Figure 1.2 shows a simple camera vision-based sensor for hand gesture extraction and identification.



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#### **APPENDIX A**

#### LIST OF PUBLICATIONS

#### **Conference:**

 Khan, Fawad Salam, Mohd Norzali Haji Mohd, Raja Masood Larik, Muhammad Danial Khan, Muhammad Inam Abbasi, and Susama Bagchi. "A Smart Flight Controller based on Reinforcement Learning for Unmanned Aerial Vehicle (UAV)." In 2021 IEEE International Conference on Signal and Image Processing Applications (ICSIPA), pp. 203-208. IEEE, 2021.

#### **Journals:**

- Khan, Fawad Salam, Mohd Norzali Haji Mohd, Saiful Azrin BM Zulkifli, Ghulam E Mustafa, Suhail Kazi Abro and Dur Muhammad Soomro "Deep Reinforcement Learning Based Unmanned Aerial Vehicle (UAV) Control Using 3D Hand Gestures" CMC-Computers, Material & Continua 72(2022): 5741-5759 (IF 3.77)
- Khan, Fawad Salam, Mohd Norzali Haji Mohd, Dur Muhammad Soomro, Susama Bagchi, and M. Danial Khan. "3D Hand Gestures Segmentation and Optimized Classification Using Deep Learning." *IEEE Access* 9(2021):131614-131624 (IF3.36)

#### **APPENDIX B**

#### VITA

FAWAD SALAM KHAN received a Bachelor's degree (B.S.) in Computer Engineering from the Sir Syed University of Engineering and Technology, Karachi Pakistan in 2003 and a Masters of Engineering (ME) in Computer Systems from the NED University of Engineering and Technology, Karachi, Pakistan in 2011. He also completed a second master's in Computer Science from International Islamic University, Islamabad Pakistan in 2020. He is currently pursuing a Ph.D. in the Faculty of Electrical and Electronic Engineering (FKEE) at UTHM. His research interests in image classification, optimization techniques, reinforcement learning, pattern recognition, computer vision, machine learning, and deep learning. He received various gold and silver medals for different AI projects in Malaysia. He is an IEEE graduate student member and professional member of PEC Pakistan. He has also done various IT training in Singapore and Germany. He has more than 18 years of experience in industry and academia. Currently affiliated with Pakistan's top AI Company CONVSYS (Pvt) Ltd. as the Director and CEO heading different AI, Machine, and Deep Learning Projects in Silicon Valley (USA), Malaysia, and the UK. He is also teaching various computer engineering and computer sciences subjects in different universities in Pakistan.

