CUTTING PERFORMANCE OF ADVANCED MULTILAYER COATED
(TiAlN/ AlCrN) IN MACHINING OF AISI D2 HARDENED STEEL

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A thesis submitted in partial
Fulfillment of the requirement for the award of the
Degree of Master of Mechanical Engineering

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APRIL 2013
ABSTRACT

The hard machining of hardened steel with advanced cutting tool has several advantages over conventional method such as short cycle time, process flexibility, compatible surface roughness, higher material removal rate and less environment problems with absence of cutting fluid. However, caused severe tool wear and changes to quality and performance of product due to higher mechanical stress and heat generation. Thus, proper criteria should be adopted to keep the longer tool life and maintaining the quality of surface integrity. In this work, an experimental investigation was conducted to characterize the machinability of multilayer TiAlN/AlCrN coated carbide tools and surface integrity in end milling of AISI D2 hardened steel (58-62 HRC) on a Vertical Machining Centre (VMC). The cutting variables were cutting speed (80-120 m/min) and radial depth of cut (3-5 mm), meanwhile feed per tooth (0.05 mm) and depth of cut (0.5 mm) were kept constant. Tool life and volume of material removed decreased as cutting speed and radial depth of cut increased due to higher temperature and contact area. Built-up edge formation, groove formation, and edge chipping were the dominant tool failure modes; however, the cutting tool was subjected to adhesion and abrasive wear for the duration of testing. The highest volume of material removed and tool life were 1500 mm$^3$ and 4.97 min which associate to cutting speed of 100 m/min and radial depth of cut of 4 mm. The surface roughness, $R_a$ values attained throughout the experiments were in range of 0.20 to 0.45 μm which may acceptable in mould and die fabrication. The optical microscope observations show that the milled surface is anisotropic in nature. Meanwhile, the surface defects observed during machining included feed marks, grooves, microchips or debris and cavities and the existence of surface defects caused by thermal softening of the material and interaction between cutting tool and workpiece. This study showed that a thin layer of plastic deformation was formed in the immediate sub-surface of the workpiece, and the microhardness was altered to a depth of 0.28 mm beneath the machined surface due to high pressure and elevated heat. Nevertheless, all cutting tools experienced excessive coating delamination by crack and strip from the substrate due to high friction and thermal cycling generated and low toughness of the coating. It can be concluded that hard machining can be carried out for AISI D2 hardened steel with multilayer TiAlN/AlCrN coated carbide tooling because the process has been proven to produce high productivity and functional performance of quality machined parts with respect to surface integrity.
ABSTRAK

Pemesinan keras pada keluli terkeras menggunakan alat pemotong termaju mempunyai beberapa kelebihan berbanding kaedah konvensional seperti kitaran masa yang singkat, proses yang fleksibel, kekasaran permukaan yang sesuai, kadar permotongan bahan yang tinggi dan kurang masalah persekitaran kerana tidak menggunakan cecair pemotong. Walaubagaimanapun, masalah tersebut mendorong kepada kehausan alat pemotong yang teruk dan perubahan kepada kualiti dan sifat produk disebabkan oleh penghasilan tekanan mekanikal dan kepanasan yang sangat tinggi. Oleh itu, kriteria yang betul diperlukan untuk memanjangkan jangka hayat alat pemotong dan mengekalkan kualiti integriti permukaan. Dalam kajian ini, suatu eksperimen telah dijalankan untuk mengkaji kebolehmesinan alat pemotong, karbida bersadur TiAlN/AlCrN dan integriti permukaan dalam pemesinan keluli terkeras AISI D2 (58-62 HRC) menggunakan Vertical machining centre (VMC). Antara pemboleh ubah pemotongan adalah kelajuan pemotongan (80-120 m/min) dan lebar kedalaman pemotongan (3-5 mm), manakala suapan per gigi (0.05 mm) dan kedalaman pemotongan (0.5 mm) dimalakan. Jangka hayat alat pemotong dan isipadu bahan dipotong menurun apabila kelajuan dan lebar kedalaman pemotongan meningkat disebabkan oleh ketinggian suhu dan keluasan permukan bersentuh. Kejadian built-up edge, kejadian alur dan serpihan sisi adalah kegagalan alat pemotong, sementara itu, geseran dan hakisan adalah mekanisma kegagalan sepanjang tempoh ujian. Jumlah tertinggi isipadu bahan yang dipotong dan hayat alat pemotong adalah 1500 mm³ dan 4.97 min menggunakan kelajuan pemotongan sebanyak 100 m/min dan lebar kedalaman pemotongan sebanyak 4 mm. Kekasaran permukaan dicapai sepanjang eksperimen dalam lingkungan 0.20-0.45 μm yang boleh diterima dalam penghasilan mould and die. Pemerhatian mikroskop optik menunjukkan bahawa permukaan yang telah dimesin adalah anisotropic secara semulajadi. Kajian ini menunjukkan bahawa satu lapisan kecacatan plastik nipis terbentuk di bawah permukaan bahan kerja, dan kekerasan mikro telah berubah sehingga 0.28 mm di bawah permukaan yang dimesin disebabkan oleh tekanan tinggi dan peningkatan suhu. Tambah anan lagi, semua alat pemotong mengalami delaminasi saduran yang teruk iaitu retak dan tanggal daripada substrate kerana geseran yang tinggi dan peningkatan kitaran haba. Kajian ini boleh disimpulkan bahawa pemesinan keras boleh dijalankan bagi keluli terkeras AISI D2 menggunakan alat pemotong karbida bersadur TiAlN/AlCrN kerana proses tersebut telah terbukti menghasilkan ketinggian produktiviti dan kualiti bahagian yang telah dimesin berdasarkan integriti permukaan.
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LIST OF ABBREVIATIONS

EDM  Electrical discharge machining
HSM  High speed machining
PCBN Polycrystalline cubic boron nitride
PCD Polycrystalline diamond
TiN  Titanium Nitride
TiAlN Titanium Aluminium Nitride
TiCN Titanium Carbon Nitride
PVD  Physical vapour deposition
CVD  Chemical vapour deposition
AlCrN Aluminium Chromium Nitride
CrN  Chromium Nitride
MRR  Material removal rates
VMR  Volume of material removed
V_c Cutting speed
N Rotational per minute
n Number of teeth
f Feed
f_r Feed rate
a_p Axial depth of cut
a_e Radial depth of cut
TL Tool life
R_a Average surface roughness
LOC Length of cut
BUE Built-up edge
VB Flank wear
KT Crater wear
3D Three dimension
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<tr>
<td>TiAlSiN</td>
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<td>HRC</td>
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<td>TiAlCrN</td>
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<td>SEM</td>
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<td>Atomic force microscope</td>
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<td>HV</td>
<td>Hardness Vickers Pyramid Number</td>
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CHAPTER 1

INTRODUCTION

1.1 Background of study

Hard machining of hardened steels is an alternative to grinding and electrical discharge machining (EDM) which is mostly accepted as traditional methods of machining materials with hardness greater than 50 HRC. Its competitiveness over grinding and EDM processes for industrial applications is still limited despite its potential for high productivity and environmental-friendly dry machining process. However, the benefits of hard machining are reduced machining costs and lead times in comparison to current traditional route, which involves processes such as annealing, heat treatment, grinding, electrical discharge machining and polishing. Hard machining technology was first applied in mould and die making industries other than the aerospace sector by empowering robust machine tools with the application of advanced cutting tools and its coatings. Moulds, forging and die casting dies are potential applications of hard machining process. Higher cutting parameters (i.e. higher cutting speed and radial depth of cut) are employed for rough machining in order to have more material removal rate using solid carbide and indexable inserts. This process has become normal practice in industry because of increased productivity and reduced energy consumption (Fnides et al., 2008; Fnides et al., 2009; Bouacha et al., 2010).

The difficult-to-cut materials (i.e. AISI D2 hardened steel) with high strength, toughness and hardness, such as hardened steel, have wide applications
in the moulds and dies industry (Chen et al., 2007). During the last few years numerous studies have been conducted to improve the machinability of this kind of materials and to explore and develop new techniques to minimize machining costs while maintaining the quality requirements of the machined parts. The benefits of direct manufacture of components from hardened steel (i.e. AISI D2) are expected to be substantial especially in the context of machining costs and lead times compared to the traditional route of machining in the annealed state followed by heat treatment, grinding or electrical discharge machining (EDM), and manual finishing. But despite the extensive use and potential scope of AISI group D tool steel for cold-forming operations, most information about the machinability of AISI D2 hardened steel is highly needed (Koshy et al., 2002). Nevertheless, a large proportion of the literature relating to hardened steel refers to AISI H13 hot work tool steel, which is used in the manufacture of moulds and dies including hot-forming dies.

In hard machining processes, polycrystalline cubic boron nitride (PCBN) tools have become a common choice for cutting tools in the industry. PCBN clearly exhibits high cutting performance in terms of tool wear and workpiece surface roughness. Because PCBN tools are very expensive, a coated carbide tool, which was 4-5 times cheaper than a PCBN tool, was chosen for this study. Typically, a PCBN tip is brazed onto an insert so only one edge can be used; however, for a coated carbide tool, it is possible to use all the edges. Carbide tools have high toughness but poor wear characteristics compared to advanced tool materials, such as PCBN and ceramics. To improve hardness and surface integrity, carbide tools are coated with harder materials, such as TiN, TiAlN, and TiCN (Camuscu & Aslan, 2005). Coatings improve wear resistance, increase tool life and enable higher cutting speeds to be used. Improved wear resistance results from the superior coating hardness, chemical inerterness and reduced coefficient of friction (Liew, 2010; Ding et al., 2011). Therefore, there is a keen interest in the utilisation of coated carbide tools that can mostly replace expensive cutting tools (i.e., PCBN and ceramic), particularly in high productivity hard machining processes. Recent advances in machine tool technologies coupled with improved cutting tool inserts have opened up new opportunities for investigation in machining of hard materials especially for their cutting performance.
1.1.1 The benefits of High Speed Machining in hard machining

A very important indicator of the performance of metal cutting operations is the productivity or volume of material removed per unit time. No matter how long a cutting tool can last if the volume material rate is small (Abou-El-Hossein and Yahya, 2005). The productivity of machining operations can be expanded and the quality of products can be improved by using higher material removal rate (i.e. higher cutting speed and radial depth of cut) than applies traditionally. Otherwise, HSM has been incorporated to accelerate the spindle speed without necessary used of coolant/lubricant.

Furthermore, high speed machining (HSM) has been of special interest to both manufacturing and academia sectors for many years. In the last decade, there have been many significant developments in high speed machining relative to machining controls and tooling. These advances in cutting technology are helping manufacturing companies reduce their production costs, shorten delivery times, manufacture complex, high quality parts and accelerate product development cycles (Grzesik, 2008). High speed machining is also recognized as a manufacturing technology of higher productivity and throughput, and is thus creating considerable interest for die and mould manufacture.

Several studies have been performed to investigate the feasibility of HSM of dies and molds in their hardened state. Machining at low cutting speeds (<80 m/min) generates less heat at the cutting edge and thus prolongs tool life (Kim et al., 2001; Iqbal et al., 2007; Lajis et al., 2008; Kang et al., 2008; Jeong et al., 2009). However, few researchers have tried to optimise the productivity of hard machining of hardened steel by increasing the material removal rate (i.e., using higher cutting speeds); therefore, the maximum cutting speed that can be used without significantly reducing tool life is still scarce. Additionally, there has been little work on variations in radial depth of cut in hard machining, so the investigation of this subject is highly necessary. Figure 1.1 illustrates the main benefit to be gained from adapting a high-speed machining. As a result of advances in cutting tool and machine tool technologies, machining is being performed at ever increasing cutting speed and radial depth of cut.
1.1.2 Multi-layer Coated Carbide as a cutting tool

Carbide tool is the most common tool material for machining castings and alloy steels. It has high toughness, but poor wear characteristics compared to advanced tool materials such as PCBN and ceramics. In order to improve the hardness and surface integrity, carbide tools are coated with hard materials such as TiN, TiAlN, and TiCN by physical vapour deposition (PVD) and chemical vapour deposition (CVD) (Aslan, 2005). The process selected whether PVD or CVD depends on the tool material composition, tool geometry and application (Boothroyd & Knight, 2006). However, this study focuses only on the PVD process according to the scope of study. Moreover, Koshy et al. (2002) suggested that, for tool steel D2, it is better to employ indexable insert cutters rather than their solid carbide, considering that the latter are typically 5–8 times more expensive. The tools play an important role in the total costs of machining.
Since the introduction of PVD coatings on machining tools almost thirty years ago, many new hard coatings have been developed in order to increase the machining speed, the lifetime of the coated tools and to improve the quality of the machined surface. The reason for such rapid development of coating technology and growing popularity of coated tools in the metal-cutting industry is that the coatings can positively alter the cutting process performance as illustrated in Figure 1.2. Figure 1.2 shows the role of coating in alteration of cutting performance.

Figure 1.2: The role of coatings in the alteration of cutting performance (Grzesik, 2008)

A hard layer on a softer substrate will give improved protection against scratching from a hard counter face or debris. Hard coatings have thus been especially useful in application involving abrasive or erosive wear. An early successful application was ceramic coatings, especially aluminium oxide, titanium nitride and titanium carbide, on cutting tools where they give good protection against a combination of diffusion and abrasive wear at high temperatures. This
has often resulted in extensions of tool lifetimes by ten times or more (Holmberg and Matthews, 2009). Figure 1.3 distinguishes between four different zones, each with different properties which must be considered.

![Figure 1.3: Tribologically important properties in different zones of the coated surface (Holmberg and Matthews, 2009)](image)

Recently, a new class of multilayer TiAlN/AlCrN coatings with added chromium has been subject to increasing interest due to their excellent properties, particularly under high temperature conditions. Okada et al. (2011) carried out an extensive study that showed promising results in oxidation tests and cutting tool applications for a TiAlN/AlCrN coating. However, the available data on the cutting performance of these coatings are still very limited. Liew (2010) found that tribo-oxidation plays an important role in the wear properties of TiAlN/AlCrN coatings. Coating characteristics are more important once a coating has been optimised and is in production, while the friction and wear performance and composition are usually of greater interest when a tribological coating is under development. Thus the evaluation of coating characteristics is vital.
1.1.3 Machinability and surface integrity in productive machining

Numerous investigations have been carried out to improve the machinability (tool life, tool wear performance, surface roughness and etc.) and surface integrity (surface topography and metallurgy) of AISI D2 hardened steels, most of these are based on turning operation. However the results obtained through the turning operation are not likely to represent features of the milling operation in which interruption occurs in machining work unlike the situation in turning where a continuous cutting takes place. In machining process, the tool may experience repeated contact loads during interrupted cuts, and the workpiece may chemically interact with the tool material. The response of a tool material to the above tribological condition dictates its performance. Moreover, the damages of a cutting tool are influenced by the stress state and temperature at the tool surfaces, the cutting conditions and the presence or not of cutting fluid and its type. In machining, the tool damage mode and the rate of damage are very sensitive to changes in the cutting operation and the cutting conditions. To minimize machining cost, it is not only to find the best cutting tool in terms of cutting performance and cost and work combination for a given machining operation, but also to reliably predict the tool life (Grzesik, 2008).

Furthermore the quality and performance of a product is directly related to surface integrity achieved by final machining (Ulutan & Ozel, 2011). Manufacturing processes such as hard machining causes changes to the microstructure and consequently to the mechanical properties and quality of the surface (Umbrello & Filice, 2009). Otherwise, the choice of manufacturing processes is based on cost, time and precision. Precision of a surface is one of the topographical features which divided by two criteria: dimensional accuracy and surface finish. Nevertheless, another criterion has become increasingly important is the performance of the surface (Davim, 2008).

Besides, the main interest in this study is to obtain high productivity in machining of AISI D2 using coated carbide tool. With the rapidly growing trends in developing and deploying advanced processing technologies, manufactured components/products are expected to demonstrate superior quality and enhanced functional performance. Material removal processes continue to dominate among
all manufacturing processes. The functional performance of components from material removal processes is heavily influenced by the quality and reliability of the surfaces produced both in terms of topography as well as metallurgical and mechanical state of the subsurface layers (Jawahir et al., 2011).

The importance of material removal operations in the scheme of things may be realized by considering the total cost associated with this activity, including expendable tool cost, workpiece cost and etc. There are several reasons for developing a rational approach to material removal (Shaw, 2005):

1. To improve cutting techniques— even minor improvements in productivity are of major importance in high volume production.
2. To produce products of greater precision and of greater useful life.
3. To increase the rate production and produce a greater number and variety of products with the tools available.

Increasing material removal rate (MRR) (i.e. increasing cutting speed, \( V_c \) and radial depth of cut, \( a_e \)) leads to higher productivity, however, it influences the tool wear deterioration rapidly, hence, reduces the tool life as shown in Figure 1.4. The progress of tool wear affects to the surface integrity on the workpiece as well. Therefore, it is a vital to study the relationship of tool wear performance and surface integrity to lead an optimum parameter in order to have high material removed, maximum tool life as well as acceptable surface integrity. The machinability of this group of hardened steels (group D) under various machining conditions and the corresponding tool performance (i.e. tool life, tool wear) and work-piece surface integrity (i.e. surface roughness, etc.) are worth to be investigated (Aslan, 2005).
1.2 Problem statement

In any productive machining, each process tends to remove materials as much as possible while maximizing the tool life hence keeps maintain the quality of functional performance (i.e. surface integrity). Enhancing the productivity in machining operation most associated with increasing the material removal rate \( (MRR) \) by employing the higher values of cutting speed, feed, axial and radial depth of cut. However, in machining hard materials i.e. AISI D2 hardened steels with incorporating the high speed operation, the cutting tools wear very much due to the mechanical stress and temperature increases. It has been understood that, the acceptable quality of surface integrity depends on the initial considered portion of the tool wear progression, and consequently it depends on the final shape of the cutting tools. Additionally, experimentations revealed that the preferable surface integrity would be obtained if the proper setting of cutting parameter as well as a small wear was prudently monitored to avoid the advent of bad or damaged zone on the workpiece. Thus, in order to realize the full potential of the higher \( MRR \) approach, it is indispensable to develop the relationship of machinability (i.e. tool life, tool wear performance and surface roughness) and surface integrity (i.e. surface topography and metallurgy) particularly in machining difficult-to-cut materials for which in this study AISI D2 hardened steel is the targeted materials.

In machining hardened steel, polycrystalline cubic boron nitride (PCBN) tool has become the common cutting tool because of its great cutting performance...
and also excellent tool properties (i.e. higher wear resistance and hardness). However, due to the high cost and expensive which averages is about 4-5 times higher than coated carbide tools and therefore, there is a keen interest of utilizing multilayer coating of carbide cutting tools (i.e. TiAIN/AlCrN, TiAlN, etc.) which most possibly can replace the expensive cutting tools (i.e. PCBN, Cermets) particularly in high productivity of the hard machining process. Furthermore, the coating characteristics become key property once a coating has been optimized and is in production, whereas the coating thickness and deformation are usually of most interest when tribological coating is under development, thus, the evaluation of coating characteristics are highly needed in order to investigate coating characteristic in hard machining.

1.3 Significance of study

The investigation on relationship between tool wear performance (i.e. tool life, tool failure mode, tool wear machinism, tool wear morphology and coating characteristic) and surface integrity (i.e. surface roughness, surface topography, surface defect, microstructure and microhardness) in machining of AISI D2 hardened steel using coated carbide tool leads the development of optimum range of cutting parameters which promoting maximum productivity, maximum tool life and acceptable surface integrity of workpiece. Yet, a good understanding of the relationship between tool wear performance and surface integrity can be used as the basis of future developments of tooling and workpiece respectively. Finally, the potential cutting performances of coated carbide tool in machining of AISI D2 hardened steel could be revealed and promoting to replace expensive cutting tools i.e. PCBN which is about 4-5 times higher than coated carbide.
1.4 Purpose of study

The project was undertaken to study the relationship of machinability and surface integrity in machining of AISI D2 hardened steels by using multilayer coated carbide tool (TiAlN/AlCrN) inserts with the following specific objectives:

i) To investigate the effect of cutting variables on the following machinability criteria:
   a) Tool wear performance (i.e. Tool life, volume of material removed, tool failure mode, tool wear mechanism and tool morphology of the cutting tools).

ii) To identify the effect of cutting variables on the following machining characteristics and surface integrity (SI) such as:
   a) Surface roughness of machined workpiece.
   b) Surface topography of machined workpiece (i.e. surface morphology, 3D analysis and surface defect).
   c) Surface metallurgy of machined workpiece (i.e. microstructure and plastic deformation).
   d) Subsurface microhardness of machined workpiece.

iii) To evaluate the coating characteristic (i.e. coating thickness and deformation) of multilayer coated carbide tool (TiAlN/AlCrN).

1.5 Scope of study

In order to realize the objectives of the study to be successful and reasonably implemented, the following scope of works have been derived:
i) The higher MRR approach to be used in this study by employing higher of cutting speed, $V_c$ (>80 m/min), feed, $f$ (>0.05 mm/tooth), axial, $a_p$ (0.5 mm) and radial depth of cut, $a_e$ (>3 mm).

ii) Using a high speed CNC Vertical Milling (Mazak Tech) to carry out the overall machining experiments.

iii) Coated carbide inserts with multilayer coating (TiAlN/AlCrN) produced by Sumitomo Electric is selected as the cutting tools to machine the workpiece.

iv) Conducting the machining operation on AISI D2 hardened steel (having a typical hardness range of (58-62 HRC) as workpiece material.

v) The experiment is carried out under dry cutting condition.

vi) Conducting experimental trials to investigate and evaluate the following responses;

a) Tool life and tool wear morphology with measurement of progressive tool wear using tool maker microscope (Nikon MM-60).

b) Tool failure mode and tool wear mechanism using scanning electron microscope (SEM) (JEOL-JSM 6380 L.V).

c) Coating characteristic analysis of TiAlN/AlCrN coated carbide using analytical field emission scanning electron microscope (FeSEM) (JSM-7500F).

d) Surface roughness and defect on machined surface measured using surface roughness tester (Mahr Perthometer PGK-120).

e) Surface morphology on machined surface and cutting tool measured using tool maker microscope (Nikon MM-60).

f) Three dimensional of machined workpiece analyzed by atomic force microscope (AFM) (Park Systems XE-100).

g) Subsurface beneath the machined surface using analytical field emission scanning electron microscope (FeSEM) (JSM-7500F).
h) Microhardness at beneath the machined surface measured using vickers hardness tester (Shimadzu HV-1000)

1.6 Hypothesis

Based on the investigation on relationship between machinability and surface integrity due to variable cutting parameters in machining of AISI D2 will lead to an optimum cutting parameter, which guarantees a high productivity, high tool life and acceptable surface integrity as well. The study will open up the scope of using relatively cheap coated carbide cutting tools (i.e. TiAlN/ AlCrN) instead of using PCBN or other expensive cutting tools that could be highly attractive in the context of economy in machining hardened steel and alloys. A partial of machining database developed is likely to benefit the machining practitioners and industries as it would help them in selecting optimum values of the cutting parameters. An optimal selection of cutting parameters to satisfy an economic objective which are maximizing production rate and minimum production cost.

In addition, the improvement of tool life and surface finish of the machined surfaces with the application of high material removal rate is expected to lower the cost of mould and die production. Furthermore, due to the capability of the high speed machining technique to improve surface finish, it could be a possible scope to implement single operation in certain fabrication processes, particularly in mould and die making which would eliminate the requirement of grinding and other finishing operations. Finally, a new understanding of machining hardened steel and coating characteristic analysis are expected to be established.
CHAPTER 2

FUNDAMENTAL STUDY OF MACHINABILITY AND SURFACE INTEGRITY IN METAL CUTTING

This chapter explains briefly on the tool wear and surface integrity in metal cutting process. Special attention is directed toward the tool wear performance, coated carbide tool and surface integrity such as surface topography and metallurgy. Thus, the aim is to illustrate the fundamental concepts that would be used to explain the results of this study. The sources of this chapter are from books and journals which can be divided into three subchapters. The first subchapter discusses about the fundamental of tool wear followed by the fundamental of coated carbide tool and followed by surface integrity.

2.1 Tool wear performance

Wear is usually undesirable and to be minimized. This is certainly the case with tool wear or when machine surfaces rub together and a loss material from one or both surfaces results in a change in the desired geometry of the system (Shaw, 2005). Wear on the flank of cutting tool is caused by friction between the newly machined workpiece surface and the contact area on the tool flank. Because of the rigidity of workpiece, the worn area, referred to as the flank wear land, must be parallel to the resultant cutting direction. The width of the wear land is usually taken as a measure of the amount of wear and can be readily determined by means
of toolmaker’s microscope (Boothroyd & Knight, 2006). Tool wear leads to tool failure. The failure of cutting tool occurs as premature tool failure (i.e., tool breakage) and progressive tool wear. Generally, wear of cutting tools depends on tool material and geometry, workpiece materials, cutting parameters (cutting speed, feed rate and depth of cut), cutting fluids and machine tool characteristics (Davim, 2008).

2.1.1 Tool failure mode

Normally, tool wear is a gradual process. There are lot types of tool wear such as crater wear, flank wear, crater wear, notch wear, nose wear thermal cracks, chipping and plastic deformation. Figure 2.1 illustrates some types of failures and wears on cutting tools.

Table 2.1: Tool failure mode and cause (Grzesik, 2008)

<table>
<thead>
<tr>
<th>Failure</th>
<th>Cause</th>
</tr>
</thead>
<tbody>
<tr>
<td>Flank wear</td>
<td>Flank wear is observed on the flank or clearance flank as a result of abrasion by the hard constituents of the workpiece material. This failure mechanism is commonly observed during machining of steels.</td>
</tr>
<tr>
<td>Crater wear</td>
<td>Crater wear observed on the rake face of cutting tools. It is primarily caused by chemical interaction between the rake face of a tool insert and the hot chip. Many thermally activated phenomena such as adhesion, diffusion or dissolution may be involved in the wear process.</td>
</tr>
<tr>
<td>Wear Type</td>
<td>Description</td>
</tr>
<tr>
<td>-----------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Notch wear</td>
<td>Notch wear is often attributed to the oxidation of the tool material from the sides of major and minor cutting edges, or to abrasion by the hard, saw-tooth outer edge of the chip. The workpiece materials tend to have high work-hardening and generate high tool-tip temperatures.</td>
</tr>
<tr>
<td>Nose wear</td>
<td>Nose wear termed also tool-tip blunting, results from insufficient deformation resistance of a tool material in a given machining operation.</td>
</tr>
<tr>
<td>Thermal crack</td>
<td>Thermal cracks develops when the repeated heating and cooling associated with interrupted cutting (thermo-mechanical fatigue), creates high temperature gradients at the cutting edge. With prolonged time, lateral cracks may appear parallel to the cutting edge.</td>
</tr>
<tr>
<td>Chipping</td>
<td>Chipping of the tool, involves removal of relative large discrete particles of tool material. Tool subjected to discontinuous cutting condition are particularly intended to chipping. Built-up edge formation also has a tendency to promote tool chipping. A built-up edge is never completely stable, but it periodically breaks off.</td>
</tr>
<tr>
<td>Plastic deformation</td>
<td>Plastic deformation takes place as a result of combined high temperatures and high pressure on the cutting edge. High speeds and hard workpiece materials mean heat and compression. The typical bulging of the edge will lead to higher temperatures, geometry deformation, chip flow changes and so on until a critical stage is reached.</td>
</tr>
</tbody>
</table>
There are two basic zones of wear in cutting tools: flank wear and crater wear. Flank wear and crater wear are the most important measured forms of tool wear. Flank wear is most commonly used for wear monitoring. According to standard ISO 3685:1993 for wear measurements, the major cutting edge is considered to be divided into four regions, as shown in Figure 2.2:

- Region C is the curved part of the cutting edge at the tool corner;
- Region B is the remaining straight part of the cutting edge in zone C;
- Region A is the quarter of the worn cutting edge length farthest away from the tool corner;
- Region N extends beyond the area of mutual contact between the tool and workpiece for approximately 1-2 mm along the major cutting edge. The wear is notch type.

The width of the flank wear, $VB_B$, is measured within zone B in the cutting edge plane $P_s$ (Figure 2.1) perpendicular to the major cutting edge. The width of the flank wear land is measured from the position of the original major cutting edge. The crater depth, $KT$, is measured as the maximum distance between the crater bottom and the original face in region B. Tool wear is commonly measured using a toolmaker’s microscope (with video imaging systems and resolution of less than 0.001 mm) or stylus instrument similar to a profilometer (with ground diamond styluses). The criteria recommended by ISO 3685:1993 to define the effective tool life is $VB_{B,\text{max}} = 0.3$ mm (Davim, 2008).
2.1.2 Tool wear mechanism

The general mechanisms that cause the tool wear is summarized in Figure 2.2. There are abrasion, diffusion, oxidation, fatigue and adhesion. Most of these mechanisms are accelerated at higher cutting speeds and consequently cutting temperatures (Davim, 2008). In the context of cutting tool wear three groups of causes can be qualitatively identified: mechanical, thermal and adhesive. Mechanical types of wear, which include abrasion, chipping, early gross fracture meanwhile mechanical fatigue, are basically independent temperature. Thermal causes with plastic deformation, thermal diffusion and oxygen corrosion as their typical forms, increase drastically at high temperatures and can accelerate the tool failure by easier material removal by abrasion or attrition.
Abrasion wear occurs when hard particles slide against cutting tool, primarily on the flank surface. The hard particles come from either work material’s microstructure, or are broken away from the cutting edge by brittle fracture. Moreover, they can also result from a chemical reaction between the chips and cutting fluid when machining steels or cast irons alloyed with chromium. Abrasive wear reduces the harder the tool is relative to the particles in high temperatures, and generally depends on the machining distance. Adhesive or attrition wear are the most significant types of wear at lower cutting speeds. Attrition wear is not a temperature dependent, and is most destructive of the tools in the low cutting speed range, where high speed steels often give equal or superior performance. Attrition may cause substantial changes in surface texture. At high cutting speed, temperature-activated wear mechanisms including diffusion (solution wear), chemical wear (oxidation and corrosion wear), and thermal wear (superficial plastic deformation due to thermal softening effect) occur (Grzesik, 2008).

Figure 2.2: Evolution of the flank wear land VB_B as a function of cutting time for different cutting speeds (Abburi & Dixit, 2006)
2.1.3 Tool life

Tool life is important in machining since considerable time is lost whenever a tool is replaced and reset. Tool life is the time tool will cut satisfactorily and is expressed as the minutes between changes of the cutting tool. The process of wear and failures of cutting tools increases the surface roughness, and the accuracy of workpieces deteriorates (Davim, 2008). In practical machining operations the wear of the face and flank of the cutting tool is not uniform along the active cutting edge; therefore it is necessary to specify the locations and degree of the wear when deciding on the amount of wear allowable before regrinding the tool (Boothroyd & Knight, 2006). Based on Eq. (2.1) tool life to be measured as total length of cut, $LOC$ (the tool failure criteria is attained, $VB_{\text{max}} = 0.3$ mm) divide by feed rate, $f_r$.

$$TL = \frac{LOC}{f_r}$$

(2.1)

where:

$TL$ = Tool life (min)

$LOC$ = Length of cut of material removed (mm)

$f_r$ = Feed rate (mm/min)

2.2 Surface integrity

Surface integrity can be simplistically divided into two parts: first, the external aspects of topography, texture and surface finish and second the internal subsurface aspects of metallurgy, hardness, white layer formation and so on. The concept of surface integrity can be extended to any finishing operations to encompass six different groups of key factors: visual, dimensional, residual stress, tribological, metallurgical and other factors as illustrated in Figure 2.3. It should be noted that all the parameters involved in the hard milling process have a direct
influence on the surface integrity of the part. On the other hand, the six groups of key factors presented in the figure are not random, but are rather deterministic outcome of the manufacturing process performed. In consequence, the determination of basic relationships between mechanical, thermal and chemical aspects of a hard milling process is crucial to successful improvement of a finishing process (Grzesik, 2008).

Figure 2.3: The six groups of key factors that define the surface integrity of a finished material (ASM, 1994)

2.2.1 Surface topography

Surface topography is a key factor affecting the function and reliability of a component. The characterization of surface topography has become increasingly important in many fields, such as materials, tribology and machine condition
monitoring. Surface topography characterization is very important for a wide range of applications involved with the control of friction, lubrication, and wears (Yuan et al., 2008). Figure 2.4 illustrates the 3D surface of machine workpiece in milling operation.

![Figure 2.4: 3D surface of machined workpiece](image)

Moreover, engineered component or product must satisfy surface texture requirements (roughness and waviness) and traditionally, surface roughness (mainly arithmetic average, $R_a$), has been used as one of the principal method to assess quality (Axinte & Dewes, 2002). Therefore, surface roughness has become the most surface topography evaluation in numerous of studies. Surface roughness is referring to high frequency irregularities on the surface caused by the interaction of the material microstructure and the cutting tool action. This relates directly to the manufacturing unit event (the inherent generating mechanisms) and describes the irregularities caused by each feed rate, abrasive grit, particle, or spark. In practice, roughness is never separate with waviness and form, but only superimposed on top of each other. So, these distinctions are therefore qualitative not quantitative. However, roughness results from the manufacturing process rather than machine tool, waviness is attributed to the individual machine and form errors are caused by mutual effects such as insufficient fixturing of the part,
straightness errors of the guideways or thermal distortion of both the tool and the workpiece (Grzesik, 2008).

2.2.2 Surface metallurgy

During the machining operations, the workpiece material is exposed to thermal, mechanical, and chemical energy that can lead to strain aging and recrystallization of the material produce variety alterations of the subsurface layer illustrated schematically in Figure 2.5. Due to the strain aging process, the material might become harder but less ductile, and recrystallization might cause the material to become less hard but more ductile. These thermal (high temperature and rapid quenching) and mechanical (high stress and strain) effects are the main reasons for the microstructural alterations in the material, as well as phase transformations and plastic deformations (Yang & Liu, 1999). The primary important changes, strongly influenced by machining operations and their parameters, are: microstructure and hardness profile changes, as well as the introduced residual stresses. The principal causes of surface alterations are as follows:

1. The high temperature or high temperature gradients developed during the machining process.
2. Plastic deformation and plastically deformed debris.
3. Chemical reactions and subsequent absorption into the machined surface (Gzesik, 2008)
The main threats to surface integrity come from the plastic deformation of the workpiece during the machining process, and it is essential to study the effects of these deformations. It is known that these deformations are caused and/or supported by many parameters such as cutting parameters (cutting speed, feed, depth of cut), tool parameters (rake angle, edge radius, shape, coating, wear), and workpiece parameters (material, grain size) (Ulutan & Ozel, 2011). Many research studies have been conducted to find the main cause of the plastic deformations on the workpiece. It has been shown that as the tool wears, the plastic deformation on the workpiece increases which contributes in creation of white layers (Che-Haron, 2001; Che-Haron & Jawaid, 2005).

Nevertheless, white layer is a generic term referring to very hard surface layers that, because they are so hard in comparison to the bulk material, appear bland, featureless and therefore ‘white’ under the microscope. They have been variously described as white phase, hard etching or white etching. They are of many types and at least six classes have been identified. The class produced will depend upon the balance of the thermal, mechanical or chemical unit events, which are related to such factors as strain rate, heating rate, cooling rate and local environmental conditions. Generally one can say that thermal white layer is a particular form of untempered martensite. The term untempered martensite refers to hard surface layers, created by machining processes that resemble martensite in untempered state (Griffiths, 1987). Figure 2.6 shows a FeSEM image of subsurface of machined workpiece.
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