

DAYLIGHT ADAPTIVE OPTIMAL LIGHTING SYSTEM CONTROL  
STRATEGIES FOR ENERGY SAVINGS AND VISUAL  
COMFORT IN COMMERCIAL BUILDINGS

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A thesis submitted in  
fulfillment of the requirement for the award of the  
Doctor of Philosophy in Electrical Engineering



Faculty of Electrical and Electronic Engineering  
Universiti Tun Hussein Onn Malaysia

NOVEMBER 2020

To my beloved mother, wife and children



## ACKNOWLEDGEMENT

In the name of Allah, the Most Beneficent, the Most Merciful.

Alhamdulillah, all praises to Allah for giving me blessing, strengths and wisdom to realise and complete this thesis successfully.

Special thanks belong to my main supervisor Dr. Mohd. Noor bin Abdullah and co-supervisors Prof. Ir. Dr. Mohammad Yusri bin Hassan (UTM) and Dr. Nur Hanis binti Mohammad Radzi, for their support and guide and provide me with a variety of information and ideas to complete this thesis. Collaborating with them is the most valuable experience for me and I had gained a lot of knowledge and skill in producing this thesis.

My appreciation also goes to my mother (Tuminah), wife (Zaliza), and children (Safiah, Sabihah, and Ahlami) for their pray, love, and motivation all these years.



## ABSTRACT

Artificial lighting of commercial buildings in Malaysia consumes 21% of the total electrical energy. Therefore, reducing the energy is required to achieve sustainable buildings (i.e., higher energy efficiency and visual comfort), by implementing optimal light sensor placement method and optimisation-based control strategy. However, in recent works related to light sensor placement, energy performance and illuminance uniformity ( $U_o$ ) are not considered, and the results did not provide the optimal number of sensors to be employed. To optimise power consumption ( $PC$ ) and visual comfort simultaneously through the optimisation-based control strategy, the previous work developed a visual comfort model to represent  $U_o$ . However, the model did not consider daylight and the results of  $U_o$  need further improvement. This research proposes: (1) a new optimal light sensor placement method (OLSPM) by using combined particle swarm optimisation (PSO) and fuzzy logic controller (FLC) denoted as OLSPM-PSOFLC, and (2) a new visual comfort metric called illuminance uniformity deviation index (*IUDI*) and incorporated with multi-objective PSO (MOPSO) for solving energy consumption and visual comfort problem. The OLSPM-PSOFLC is developed to determine the optimal number and position of light sensors by considering  $PC$  while satisfying average illuminance level ( $E_{av}$ ) and  $U_o$ . To ensure both  $PC$  and  $U_o$  in the room are always at the optimum levels, the *IUDI* with MOPSO is developed. Before the proposed methods are implemented, retrofitting lighting system is implemented first to determine the best lamp technology to be installed in terms of technical and economic metrics. An actual office room is considered for carrying out the proposed methods. The comparative results showed that the OLSPM-PSOFLC significantly reduced the number of sensors, energy consumption, carbon dioxide emission, payback period, and life cycle cost were 66%, 23%, 23%, and 30%, respectively, compared to the multi-sensor. Meanwhile, based on the comparative study of the *IUDI* and CVRMSE, the *IUDI* showed superior performance with 6% and 27% improvement of  $U_o$  and energy savings, respectively. Based on their superiority, the newly developed methods can be potentially implemented for all types of rooms and are very useful methodologies towards sustainable commercial buildings.

## ABSTRAK

Lampu elektrik bagi bangunan komersial di Malaysia menggunakan 21% daripada jumlah tenaga elektrik. Oleh itu, pengurangan tenaga adalah perlu untuk mencapai bangunan lestari (iaitu tinggi kecekapan tenaga dan keselesaan penglihatan) dengan melaksanakan peletakan pengesan Cahaya yang optimum dan kawalan berdasarkan pengoptimuman. Walau bagaimanapun, dalam kajian terkini berkaitan dengan peletakan pengesan Cahaya tidak mengambil kira prestasi tenaga dan keseragaman pencahayaan ( $U_o$ ) dan ianya tidak memberikan keputusan yang optimum kepada bilangan pengesan untuk dipasang. Bagi mengoptimumkan penggunaan kuasa ( $PC$ ) dan keselesaan penglihatan serentak melalui kawalan berdasarkan pengoptimuman, kajian terdahulu membangunkan model keselesaan penglihatan bagi mewakili  $U_o$ . Walau bagaimanapun, model tersebut tidak mengambil kira Cahaya matahari dan keputusan  $U_o$  perlu ditambah baik. Kajian ini mencadangkan: (1) peletakan pengesan Cahaya yang optimum menggunakan gabungan pengoptimuman kumpulan zarah (PSO) dan pengawal logik kabur (FLC) singkatannya OLSPM-PSOFLC dan (2) metrik keselesaan penglihatan baru dipanggil indeks sisihan keseragaman pencahayaan (*IUDI*) dan digabungkan dengan pelbagai objektif PSO (MOPSO) untuk menyelesaikan masalah penggunaan tenaga dan keselesaan penglihatan. Sebelum melaksanakan kaedah-kaedah yang dicadangkan, pemasangan semula sistem lampu perlu dilakukan dahulu untuk menentukan teknologi lampu yang terbaik untuk dipasang dari segi metrik teknikal dan ekonomi. Sebuah bilik pejabat sebenar digunakan untuk menjalankan projek yang telah cadangkan. Keputusan perbandingan menunjukkan OLSPM-PSOFLC mencapai bilangan pengesan, penggunaan tenaga, perlepasan karbon dioksida, tempoh bayaran balik dan kos kitaran hayat yang rendah iaitu 66%, 23%, 23%, dan 30% berbanding pelbagai pengesan. Selain itu, berdasarkan keputusan perbandingan *IUDI* dengan CVRMSE, *IUDI* menunjukkan prestasi terbaik dengan penambahbaikan dari segi keselesaan penglihatan dan penjimatan tenaga dengan 20% dan 67%. Berdasarkan prestasi yang baik, ianya berpotensi untuk dilaksanakan di pelbagai jenis bilik dan metodologi yang sangat berguna menuju ke arah bangunan komersial yang lestari.

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## LIST OF SYMBOLS AND ABBREVIATIONS

$A$	Surface/Plane Area
$C$	Illuminance Centre Point Matrix
$c_1$ and $c_2$	Acceleration Constants
$C_{x,y}$	Grid Centre Point
$d$	Dimming Level of Luminaires
$\mathbf{d}$	Dimming Level of Luminaires Vector
$d_{av}$	Average Dimming Level of Luminaires
$d_{E_m}$	Dimming Value at $E_m$
$d_i$	Dimming Level of Luminaire of $i^{\text{th}}$ Zone
$d_i^{\min}$ and $d_i^{\max}$	Minimum and Maximum Dimming Level of Luminaire of $i^{\text{th}}$ Zone
$E$	Illuminance Matrix
$E_a$	Artificial Lighting Illuminance Matrix
$E_{av}$	Average Illuminance Level
$E_d(t)$	Daylight Illuminance Levels Matrix
$E_{err}$	Illuminance Error
$E_i$	Illuminance value for $i^{\text{th}}$ Zone in Matrix $C$
$E_i$	Illuminance Matrix of $i^{\text{th}}$ Zone
$E_{i,fd}$	Illuminance Level Matrix During All Luminaires in $i^{\text{th}}$ Zone Are Fully Dimming
$E_i^{\min}$ and $E_i^{\max}$	Illuminance Value for Lower and Upper Bounds in Matrix $C$
$E_{j,k}$	Illuminance Value on the Measurement Point
$E_m$	Maintained Average Illuminance Level
$E_{\max}$	Maximum Illuminance Value
$E_{\text{measured}}$	Measured Illuminance Value
$E_{op,i}$	Optimum Value of Illuminance for $i^{\text{th}}$ Zone
$E_{r,i}$	Illuminance Value of $i^{\text{th}}$ Zone in PSO Process

$E_{set}$	Illuminance Value Set Point
$E_v$	Illuminance
$E_T(t)$	Total Distributed Illuminance Matrix
$EC_{existing}$	Energy Consumption of Existing System
$EC_{proposed}$	Energy Consumption of Proposed System
$f_o^{\min}$ and $f_o^{\max}$	Minimum and Maximum of $o^{\text{th}}$ Objective Function
$g_{best}^{(t)}$	Global Best Position
$h$	Vertical Distance from Luminaire to Working Plane
$iter_j$	Current Iteration Number
$iter_{max}$	Maximum Number of Iterations
$l$	Length of the Room
$L_{op,i}$	Optimum Position of Light Sensor for $i^{\text{th}}$ Zone
$K$	Room Index
$lm$	Lumen
$n$	Particle Number
$n_l$	Number of Lamp for Each Luminaire
$N$	Number of Sensor
$N_{av}$	Normalised Illuminance Values of Average
$N_{j,k}$	Normalised Illuminance Values of $j,k$ Point
$Nl$	Number of Luminaire
$Np$	Number of Grid
$NP$	Total Number of Measurement Points
$Nz$	Number of Control Zone
$p$	Maximum Grid Size
$p_{best}^{(t)}$	Local Best Position
$PC$	Power Consumption
$P_i$	Total Power of Luminaires in $i^{\text{th}}$ Zone
$P_{Rated}$	Power Consumed
$P_{x,y}$	Interior Point
$\rho_c$	Surface Reflectance Factor for Ceiling
$\rho_f$	Surface Reflectance Factor for Floor
$\rho_w$	Surface Reflectance Factors for Wall

$q$	Longer Dimension of the Area
$r$	Discount Rate
$R^2$	Coefficient of Determination
$r_1$ and $r_2$	Random Numbers between 0 and 1
$R_a$	Colour Rendering Index
$t$	Lifetime
$\mu_o$	Membership Function of $o^{\text{th}}$ Objective
$\mu^k$	Membership Function of $k^{\text{th}}$ Non-dominated Solution
$U_o$	Illuminance Uniformity
$U_{o,\min}$ and $U_{o,\max}$	Minimum and Maximum Illuminance Uniformity
$Uh$	Higher Value Set Point of Uniformity
$v^{(t)}$	Current Velocity of the Particle
$v^{(t+1)}$	New Velocity of the Particle
$w$	Width of the Room
$\omega_{\max}$	Maximum Value of Inertia Weight
$\omega_{\min}$	Minimum Value of Inertia Weight
$x^{(t)}$	Current Position of the Particle
$x^{(t+1)}$	New Position of the Particle
$\eta$	Luminous Efficacy
$\Delta d$	Dimming Level Deviation Vector
$ \Delta E_i $	Absolute Deviation of Illuminance of $i^{\text{th}}$ Zone
$^\circ$	Degree
$\Phi_n$	Nominal Lumen Output for Each Lamp
$\Phi_v$	Luminous Flux
ACO	Ant Colony Optimisation
ALCC	Annualised Life Cycle Cost
ANN	Artificial Neural Network
BC	Billing Cost
BEMS	Building Energy Management System
BES	Building Energy System
BF	Ballast Factor

BREEAM	Building Research Establishment Environmental Assessment Method
<i>BS</i>	Billing Savings
CAD	Computer-aided Design
<i>CC</i>	Capital Cost
CCT	Correlated Colour Temperature
<i>CD</i>	Crowding Distance
CFL	Compact Fluorescent Lamp
CHPED	Combined Heat and Power Economic Dispatch
CO	Convex Optimisation
CO <sub>2</sub>	Carbon Dioxide
COR	Competition Over Resources
CRF	Capital Recovery Factor
CSA	Cuckoo Search Algorithm
CSO	Civilised Swarm Optimization
CVRMSE	Coefficient of the Variation of the Root Mean Square Error
<i>EC</i>	Energy Consumption
ECVC	Energy Consumption and Visual Comfort
EE	Energy Efficiency
EED	Economic Emission Dispatch
ELD	Economic Load Dispatch
<i>ES</i>	Energy Savings
EU	European Union
DF	Daylight Factor
DG	Distributed Generator
DLP	Distributed Linear Programming
ELECTRE	ELimination Et Choix Traduisant la REalité
ER	External Repository
<i>ET</i>	Electricity Tariff
EP	Evolutionary Programming
FA	Firefly Algoirthm
FC	Fuel Cell

FFNN	Feedforward Neural Network
FL	Fluorescent Lamp
FLC	Fuzzy Logic Controller
FoV	Field of View
GA	Genetic Algorithm
GBI	Green Building Index
GBRS	Green Building Rating System
GEO	Generalised Extremal Optimisation
GHG	Greenhouse Gas
GWO	Grey Wolf Optimisation
HJ	Hooke-Jeeves
HS	Harmony Search
HVAC	Heating, Ventilation and Air Conditioning
ID	Illuminance Deviation
IDM	Illuminance-based Dimming Control Scheme
IESNA	Illuminating Engineering Society North America
IOF	Illuminance-based On/off Control Scheme
<i>IUDI</i>	Illuminance Uniformity Deviation Index
IWO	Inverse Weed Optimisation
LCC	Life Cycle Cost
LEED	Leadership in Energy and Environment Design
LED	Light Emitting Diode
LP	Linear Programming
LSC	Lighting System Control
MD	Mobile Device
<i>MF</i>	Maintenance Factor
MOEA	Multi-Objective Evolutionary Optimisation Algorithm
MODE	Multi-Objective Differential Evolution
MOGA	Multi-Objective Genetic Algorithm
MOPSO	Multi-Objective Particle Swarm Optimisation
MSE	Mean Squared Error
NCO	Non-linear Constrained Optimisation

NGTP	National Green Technology Policy
NSGA II	Non-Dominated Sorting Genetic Algorithm II
<i>OC</i>	Operating Cost
ODM	Occupancy-based Dimming Control Scheme
OOF	Occupancy-based On/off Control Scheme
OLSPM	Optimal Light Sensor Placement Method
OPF	Optimal Power Flow
O&M	Operating
PI	Proportional Integral
PID	Proportional Integral Differential
PIR	Passive Infrared
PoE	Power over Ethernet
<i>PP</i>	Payback Period
PSO	Particle Swarm Optimisation
PV	Photovoltaic
PWM	Pulse Width Modulation
RBFNN	Radial Basis Function Neural Network
RFID	Radio Frequency Identification
RMSE	Root Mean Square Error
ROI	Return on Investment
SA	Simulated Annealing
SIRIM	Standard and Industrial Research Institute of Malaysia
SPDE	Simple Partial Differential Equation
SPEA	Strength Pareto Evolutionary Algorithm
TNB	Tenaga Nasional Berhad
TLBO	Teaching-learning-based Optimisation
UD	Uniformity Deviation
<i>UF</i>	Utilisation Factor
VDC	Direct Current Voltage
VLC	Visible Light Communication
WT	Wind Turbine

**LIST OF APPENDICES**

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PTTA UTHM  
PERPUSTAKAAN TUNKU TUN AMINAH

# **CHAPTER 1**

## **INTRODUCTION**

### **1.1 Research Background**

Nowadays, the energy demand has dramatically increased all over the world. In the United States (US), electricity energy consumption increases by approximately 1.6% every year [1]. Meanwhile, Malaysia recorded increased electricity energy consumption year 2010 to 2013 by 18% and increased by approximately 4.5% annually [2]. This phenomenon is reflected in the number of population, economic growth and infrastructure development. Consequently, the increase of greenhouse gas (GHG) emission has serious impacts on the global environment, such as global warming and climate change.

Electrical energy consumption (EC) and carbon dioxide (CO<sub>2</sub>) emission of buildings for global and the European Union (EU) [3], [4] is presented in Figure 1.1. Based on the figure, global represents the total energy used with 40% and consequently, CO<sub>2</sub> emission with 30%. For the EU, building energy consumption contributes 40% of the total energy and 36% of the total CO<sub>2</sub> emission. Figure 1.2 shows the electrical energy consumption of Malaysia by major sectors in 2012 [5]. It can be seen that, industrials (45%), commercials (33%), and residential (21%). In 2017, the energy used in the US from the residential and commercial sectors was around 39% of the total energy used [6].

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